

**WORKMAN  
NYDEGGER  
& SEELEY**

ATTORNEYS AT LAW

A PROFESSIONAL CORPORATION

1000 EAGLE GATE TOWER

60 EAST SOUTH TEMPLE

SALT LAKE CITY, UTAH 84111

TELEPHONE (801) 533-9800

FACSIMILE (801) 328-1707

RICK D. NYDEGGER  
DAVID O. SEELEY  
BRENT P. LORIMER  
THOMAS R. VUKSINICK  
LARRY R. LAYCOCK  
JONATHAN W. RICHARDS  
DAVID R. WRIGHT  
JOHN C. STRINGHAM  
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ROBYN L. PHILLIPS  
RICHARD C. GILMORE†  
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R. BURNS ISRAELSEN  
DAVID R. TODD  
L. DAVID GRIFFIN  
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FRASER D. ROY

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R. PARRISH FREEMAN, JR.  
PETER F. MALEN, JR.  
L. REX SEARS, PH.D.  
ERIC M. KAMERATH  
ROBERT E. AYCOCK  
JENS C. JENKINS  
KEVIN W. STINGER  
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WILLIAM R. RICHTER  
RYAN D. BENSON  
SARA D. JONES  
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MATTHEW D. TODD  
J. LAVAR OLDHAM  
JOSEPH L. KRUPA  
BRETT A. HANSEN  
BRETT I. JOHNSON  
MATTHEW A. BARLOW

† ADMITTED ONLY IN CALIFORNIA

PATENTS

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MAILING ADDRESS:

P.O. BOX 45862

SALT LAKE CITY, UT 84145

INTERNET

HOME PAGE: <http://www.wnspatent.com>

GENERAL E-MAIL: [info@wnspatent.com](mailto:info@wnspatent.com)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

15735 U.S. PTO  
10/614637  
07/07/03

TRANSMITTAL FOR PATENT APPLICATION

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is a United States patent application entitled CHARACTERISTIC ADJUSTMENT METHOD OF MULTISTAGE MACH-ZEHNDER INTERFEROMETER TYPE OPTICAL CIRCUIT AND MULTISTAGE MACH-ZEHNDER INTERFEROMETER TYPE OPTICAL CIRCUIT in the name of the following inventor(s): Koichi Takiguchi and Senichi Suzuki.

Enclosed are the following:

- X A specification, claims, abstract, and cover page in total comprising sixty-three (63) pages.
- X Eight (8) sheets of drawings.
- X A joint signature Combined Declaration and Power of Attorney.
- X An Assignment conveying the invention to Nippon Telegraph and Telephone Corporation, including a Form PTO 1595 recordation cover sheet.
- A Declaration Claiming Small Entity Status pursuant to 37 C.F.R. § 1.9 and § 1.27.

\_\_\_\_\_ The present application claims entitlement to Small Entity Status under 37 CFR §§ 1.9 and 1.27

\_\_\_\_\_ Enclosed is a non-publication request requesting that the present application not be published.

X A Certificate of Mailing by "Express Mail" certifying a filing date by use of Express Mail Label No. EV 319 223 630 US.

X Priority to Japanese Application Serial No. 2002-201059 filed on July 10, 2002 is claimed under 35 U.S.C. § 119.

X A certified copy of Japanese Application Serial No. 2002-201059.

\_\_\_\_\_ An Associate Power of Attorney.

The filing fee has been calculated as shown below.

|                          |           |           | SMALL ENTITY |       | LARGE ENTITY |       |
|--------------------------|-----------|-----------|--------------|-------|--------------|-------|
| FOR                      | NO. FILED | NO. EXTRA | RATE         | FEE   | RATE         | FEE   |
| BASIC FEE                |           |           |              | \$375 |              | \$750 |
| TOT. CLAIMS              | 18 - 20=  | 0         | X 9=         |       | X 18=        |       |
| IND. CLAIMS              | 2 - 3=    | 0         | X 42         |       | X 84=        |       |
| MULTIPLE DEPENDENT CLAIM |           |           | +140=        |       | +280=        |       |
|                          |           |           | <b>TOTAL</b> |       | <b>TOTAL</b> | 750   |

X Form PTO-2038 submitting Credit Card Payment in the amount of \$790.00 is enclosed to cover:

X The \$750.00 government filing fee.

X The \$40.00 recordation fee of the enclosed assignment.

\_\_\_\_\_ Please debit Deposit Account No. 23-3178 in the amount of \$\_\_\_\_\_ for the government filing fee.

\_\_\_\_\_ Please debit Deposit Account No. 23-3178 in the amount of \$40.00 for recordation of the enclosed Assignment.

X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3178.

X Any additional filing fees required under 37 C.F.R. § 1.16.

X Any patent application processing fees under 37 C.F.R. § 1.17.

X The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3178.

X Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

X Any patent application processing fees under 37 C.F.R. § 1.17.

— The issue fee set forth in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b).

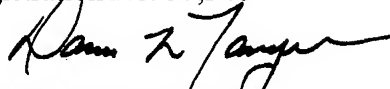
X A duplicate copy of this letter is enclosed.

Please address all future correspondence in connection with the above-identified patent application to the attention of the undersigned.

Dated this 7<sup>th</sup> day of July 2003.

Respectfully submitted,

DANA L. TANGREN  
Attorney for Applicant  
Registration No. 37,246



**022913**

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Date of Deposit: July 7, 2003

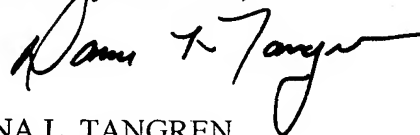
CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below in an envelope addressed to Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450:

- Patent Application in the names of Koichi Takiguchi and Senichi Suzuki for CHARACTERISTIC ADJUSTMENT METHOD OF MULTISTAGE MACH-ZEHNDER INTERFEROMETER TYPE OPTICAL CIRCUIT AND MULTISTAGE MACH-ZEHNDER INTERFEROMETER TYPE OPTICAL CIRCUIT (63 pages)
- Drawings (8 sheets)
- Assignment with Form PTO-1595 cover sheet (4 pages)
- Combined Declaration and Power of Attorney (4 pages)
- Transmittal Letter (3 pages) (in duplicate)
- Certified copy of Japanese application no. 2002-201059
- Form PTO-2038 submitting Credit Card Payment in the amount of \$790.00
- Postcard

Dated this 7<sup>th</sup> day of July 2003.

Respectfully submitted,



DANA L. TANGREN  
Attorney for Applicant  
Registration No. 37,246

WORKMAN, NYDEGGER & SEELEY  
1000 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, Utah 84111  
Telephone: (801) 533-9800

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